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Substitute for form 1449A/PTO				Complete if Known		
				Application Number	09/829,169	
INIEOD	RATION	DISCLO	SURF	Filing Date	April 9, 2001	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				First Named Inventor	VINCENT, Stephen C.	
				Group Art Unit	2832	
				Examiner Name		
Sheet	-1	of	2	Attorney Docket Number	P04860US0	

		U.S. PATENT DOCUMENT	rs	
Examiner Cite Initials • No.'	U.S. Patent Document Kind Code ² NUMBER if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the	T
Examiner nitials *	Cite No. '	item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	τ,
12	1	S. Seki, T. Unagami, and B. Tsujiyama, Electrical Characteristics of the RF Magnetron-Sputtered Tantalum Pentoxide-Silicon Interface, J. Elcetrochem. Soc.: SOLID-STATE SCIENCE AND TECHNOLOGY, Vol. 131, No. 11, Nov. 1984	
	2	H. Hoo, W.Xiong, P. McDonald, R. Raman, P. Gilman, Proceedings of Sony Research Forum, 1998	×
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Examiner Signature	Date Considered	8	1160-

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